

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,412	08/24/2001	Jerry L. Aikins	ZIM0090

000832
BAKER & DANIELS
111 E. WAYNE STREET
SUITE 800
FORT WAYNE, IN 46802

CONFIRMATION NO. 4304

FORMALITIES LETTER



OC000000006819578

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

.....

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

33/07/2002 SDENB031 00000069 09939412

01 FC:105

130.00 00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
ZIM0090

In Re Application Of: **Jerry L. Atkins, et al.**

Serial No.
09/939,412

Filing Date
August 24, 2001

Examiner

Group Art Unit
3739

Invention: **BLADE PLATE AND INSTRUMENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☒ Three months ☐ Four months ☐ Five months

from: **December 1, 2001**

Date

until: **March 1, 2002**

Date

Total time extension fees **\$920.00**

Total fees due **\$1,050.00**

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
ZIM0090

In Re Application Of: Jerry L. Aikins et al.

Serial No.
09/939,412

Filing Date
August 24, 2001

Examiner

Group Art Unit
3739

Invention: **BLADE PLATE AND INSTRUMENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,050.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 02-0385
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 02-0385
A duplicate copy of this sheet is enclosed.


Signature

Dated: Feb. 21, 2002

Adam F. Cox, Regis. No. 46,644
BAKER & DANIELS
111 East Wayne Street, Suite 800
Fort Wayne, IN 46802

TX: (260) 424-8000
FAX: (260) 460-1700

cc:

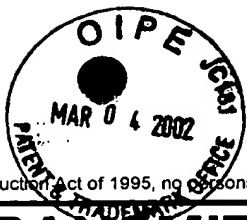
I certify that this document and fee is being deposited
on Feb. 21, 2002 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

Adam F. Cox

Typed or Printed Name of Person Mailing Correspondence



Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	09/939,412
		Filing Date	August 24, 2001
		First Named Inventor	Jerry L. Aikins et al.
		Examiner Name	
		Group Art Unit	3739
		Attorney Docket No.	ZIM0090
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
TOTAL AMOUNT OF PAYMENT		\$1,050.00	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																															
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES																															
<input type="checkbox"/> Deposit Account: Deposit Account Number: 02-0385 Deposit Account Name: BAKER & DANIELS																																	
The Commissioner is authorized to: (check all that apply)																																	
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments																																	
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application																																	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.																																	
FEE CALCULATION																																	
1. BASIC FILING FEE																																	
<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 740</td><td>201 370</td><td>Utility filing fee</td><td></td></tr><tr><td>106 330</td><td>206 165</td><td>Design filing fee</td><td></td></tr><tr><td>107 510</td><td>207 255</td><td>Plant filing fee</td><td></td></tr><tr><td>108 740</td><td>208 370</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 160</td><td>214 80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="3">SUBTOTAL (1)</td><td></td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 740	201 370	Utility filing fee		106 330	206 165	Design filing fee		107 510	207 255	Plant filing fee		108 740	208 370	Reissue filing fee		114 160	214 80	Provisional filing fee		SUBTOTAL (1)							
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2. EXTRA CLAIM FEES FOR UTILITY AND																																	
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		Other fee (specify)																															
		Fee Description																															
		Fee Paid																															
		105 130 205 65 Surcharge - late filing fee or oath 130.00																															
		127 50 227 25 Surcharge - late provisional filing fee or cover sheet																															
		139 130 139 130 Non - English specification																															
		147 2,520 147 2,520 For filing a request for ex parte reexamination																															
		112 920* 112 920* Requesting publication of SIR prior to Examiner action																															
		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action																															
		115 110 215 55 Extension for reply within first month																															
		116 400 216 200 Extension for reply within second month																															
		117 920 217 460 Extension for reply within third month 920.00																															
		118 1,440 218 720 Extension for reply within fourth month																															
		128 1,960 228 980 Extension for reply within fifth month																															
		119 320 219 160 Notice of Appeal																															
		120 320 220 160 Filing a brief in support of an appeal																															
		121 280 221 140 Request for oral hearing																															
		138 1,510 138 1,510 Petition to institute a public use proceeding																															
		140 110 240 55 Petition to revive - unavoidable																															
		141 1,280 241 640 Petition to revive - unintentional																															
		142 1,280 242 640 Utility issue fee (or reissue)																															
		143 460 243 230 Design issue fee																															
		144 620 244 310 Plant issue fee																															
		122 130 122 130 Petitions to the Commissioner																															
		123 50 123 50 Processing fee under 37 CFR § 1.17(q)																															
		126 180 126 180 Submission of Information Disclosure Statement																															
		581 40 581 40 Recording each patent assignment per property (times number of properties)																															
		146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))																															
		149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))																															
		179 740 279 370 Request for Continued Examination (RCE)																															
		169 900 169 900 Request for expedited examination of a design application																															
		SUBTOTAL (3) \$1,050.00																															

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Adam F. Cox	Registration No. (Attorney/Agent)	46,644
Signature		Telephone	260-424-8000
		Date	February 21, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.